

Docket No.: SYN-064B

CERTIFICATION OF MAILING OR TRANSMISSION

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applic. No.

10/010,247

Confirmation No: 5785

Applicant

Juergen A. Kortenbach et al.

Filed

December 6, 2001

Art Unit

3731

Examiner

Bradford C. Pantuck

Title

Apparatus for the Endoluminal Treatment of Gastroesophageal

Reflux Disease (GERD)

Docket No.

SYN-064B

Customer No.:

44338

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents, Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U.S. Patent No. 6,716,226 B2, issued April 6, 2004 to Sixto, Jr. et al.;

U.S. Patent No. 6,159,223, issued December 12, 2000 to Danks et al.;

U.S. Patent No. 6,149,660, issued November 21, 2000 to Laufer et al.;

- U.S. Patent No. 6,099,537, issued August 8, 2000 to Sugai et al.;
- U.S. Patent No. 5,993,476, issued November 30, 1999 to Groiso;
- U.S. Patent No. 5,993,465, issued November 30, 1999 to Shipp et al.;
- U.S. Patent No. 5,941,439, issued August 24, 1999 to Kammerer et al.;
- U.S. Patent No. 5,906,630, issued May 25, 1999 to Anderhub et al.;
- U.S. Patent No. 5,904,693, issued May 18, 1999 to Dicesare et al.;
- U.S. Patent No. 5,897,507, issued April 27, 1999 to Kortenbach et al.;
- U.S. Patent No. 5,858,018, issued January 12, 1999 to Shipp et al.;
- U.S. Patent No. 5,833,695, issued November 10, 1998 to Yoon;
- U.S. Patent No. 5,800,449, issued September 1, 1998 to Wales;
- U.S. Patent No. 5,792,150, issued August 11, 1998 to Pratt et al.;
- U.S. Patent No. 5,779,718, issued July 14, 1998 to Green et al.;
- U.S. Patent No. 5,766,189, issued June 16, 1998 to Matsuno;
- U.S. Patent No. 5,741,283, issued April 21, 1998 to Fahy;
- U.S. Patent No. 5,722,421, issued March 3, 1998 to Francese et al.;

- U.S. Patent No. 5,681,330, issued October 28, 1997 to Hughett et al.;
- U.S. Patent No. 5,673,841, issued October 7, 1997 to Schulze et al.;
- U.S. Patent No. 5,667,517, issued September 16, 1997 to Hooven;
- U.S. Patent No. 5,632,753, issued May 27, 1997 to Loeser;
- U.S. Patent No. 5,601,573, issued February 11, 1997 to Fogelberg et al.;
- U.S. Patent No. 5,582,617, issued December 10, 1996 to Klieman et al.;
- U.S. Patent No. 5,562,694, issued October 8, 1996 to Sauer et al.;
- U.S. Patent No. 5,522,823, issued June 4, 1996 to Kuntz et al.;
- U.S. Patent No. 5,497,933, issued March 12, 1996 to DeFonzo et al.;
- U.S. Patent No. 5,487,746, issued January 30, 1996 to Yu et al.;
- U.S. Patent No. 5,482,054, issued January 9, 1996 to Slater et al.;
- U.S. Patent No. 5,464,416, issued November 7, 1995 to Steckel;
- U.S. Patent No. 5,441,509, issued August 15, 1995 to Vidal et al.;
- U.S. Patent No. 5,439,468, issued August 8, 1995 to Schulze et al.;
- U.S. Patent No. 5,433,721, issued July 18, 1995 to Hooven et al.;
- U.S. Patent No. 5,425,740, issued June 20, 1995 to Hutchinson, Jr.;

- U.S. Patent No. 5,395,030, issued March 7, 1995 to Kuramoto et al.;
- U.S. Patent No. 5,366,459, issued November 22, 1994 to Yoon;
- U.S. Patent No. 5,354,306, issued October 11, 1994 to Garvey, III et al.;
- U.S. Patent No. 5,271,543, issued December 21, 1993 to Grant et al.;
- U.S. Patent No. 5,222,961, issued June 29, 1993 to Nakao et al.;
- U.S. Patent No. 5,174,276, issued December 29, 1992 to Crockard;
- U.S. Patent No. 5,171,249, issued December 15, 1992 to Stefanchik et al.;
- U.S. Patent No. 5,163,945, issued November 17, 1992 to Ortiz et al.;
- U.S. Patent No. 5,156,609, issued October 20, 1992 to Nakao et al.;
- U.S. Patent No. 5,030,226, issued July 9, 1991 to Green et al.;
- U.S. Patent No. 4,741,336, issued May 3, 1988 to Failla et al.;
- U.S. Patent No. 4,572,181, issued February 25, 1986 to Mattler;
- U.S. Patent No. 4,476,865, issued October 16, 1984 to Failla et al.;
- U.S. Patent No. 4,444,187, issued April 24, 1984 to Perlin;
- U.S. Patent No. 4,418,694, issued December 6, 1983 to Beroff et al.;

U.S. Patent No. 4,038,987, issued August 2, 1977 to Komiya;

U.S. Patent No. 266,632, issued October 31, 1882 to McCormick; and

British Patent Application No. 2 054 730 A, issued February 18, 1981 to Mericle.

As per the Notice in 1273 OG 55 (August 5, 2003), no copies of any above-mentioned U.S. patents and U.S. patent application publications are submitted for any application filed after June 30, 2003.

Respectfylly submitted,

Gregory L. Mayback Reg. No. 40,719

Date: May 26, 2005

Feldman Gale, P.A. Miami Center, 19th Floor 201 South Biscayne Boulevard Miami, Florida 33131-4332



M PTO-1449 (SUBSTITUTE)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))

Attorney (Docket	No.:
SYN-064	В	

Appl. No. 10/010,247

Applicant

Juergen A. Kortenbach et al.

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Group Art Unit

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EXAMINER INITIALS		PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
	Α	6,716,226	04/04	Sixto, Jr. et al.			
	В	6,159,223	12/00	Danks et al.			
	С	6,149,660	11/00	Laufer et al.			
	D	6,099,537	08/00	Sugai et al.			
W	E	5,993,476	11/99	Groiso			
	F	5,993,465	11/99	Shipp et al.			
	G	5,941,439	08/99	Kammerer et al.			
	Н	5,906,630	05/99	Anderhub et al.			
	1	5,904,693	05/99	Dicesare et al.			

FOREIGN PATENT DOCUMENT

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRAN YES	
J	2054730A	02/81	Great Britain			Х	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER DATE CONSIDERED

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PATENT AND	TRADE	MARK OFFICE		Applicant Juergen A. Kortenb	ach et al.		• 11	_
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EXAMINER		DATENT NO.	DATE	DATENTEE	CLASS	SUB	FIL	
INITIALS	A	5,897,507	04/99	PATENTEE Kortenbach et al.	CLASS	CLASS	DA	<u> </u>
	В	5,858,018	01/99	Shipp et al.				
	С	5,833,695	11/98	Yoon				
	D	5,800,449	09/98	Wales				
	Е	5,792,150	08/98	Pratt et al.				
	F	5,779,718	07/98	Green et al.				
	G	5,766,189	06/98	Matsuno				
	Н	5,741,283	04/98	Fahy				
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	Α	5,681,330	10/97	Hughett et al.			
	В	5,673,841	10/97	Schulze et al.			
	С	5,667,517	09/97	Hooven			
	D	5,632,753	05/97	Loeser			
	E	5,601,573	02/97	Fogelberg et al.			
	F	5,582,617	12/96	Klieman et al.			
	G	5,562,694	10/96	Sauer et al.			
	Н	5,522,823	06/96	Kuntz et al.			
	ı	5,497,933	03/96	DeFonzo et al.			

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	В	5,482,054	01/96	Slater et al.			
	С	5,464,416	11/95	Steckel			
,	D	5,441,509	08/95	Vidal et al.			
	E	5,439,468	08/95	Schulze et al.			
	F	5,433,721	07/95	Hooven et al.			
	G	5,425,740	06/95	Hutchinson, Jr.			
	Н	5,395,030	03/95	Kuramoto et al.			
	ı	5,366,459	11/94	Yoon			

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	С	5,222,961	06/93	Nakao et al.			
	D	5,174,276	12/92	Crockard			
	E	5,171,249	12/92	Stefanchik et al.			
	F	5,163,945	11/92	Ortiz et al.			
	G	5,156,609	10/92	Nakao et al.			
	Н	5,030,226	07/91	Green et al.			
	1	4,741,336	05/88	Failla et al.			

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STATEMENT BY APPLICANT (37 CFR 1.98(b))			Filing Date Group Art Unit December 6, 2001				
EXAM		DATENT NO.	DATE	DATENTEE	CLASS	SUB CLASS	FIL DA
INITI	ALS	PATENT NO. 4,572,181	02/86	PATENTEE Mattler	CLASS	CLASS	J D2
	В	4,476,865	10/84	Failla et al.			
	C	4,444,187	04/84	Perlin			
	D	4,418,694	12/83	Beroff et al.			
	E	4,038,987	08/77	Komiya			
	F	266,632	10/82	McCormick			
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